

Topos Theory

Lectures 5-6: Sheaves on a site

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Definition

Let X be a topological space. A **presheaf** \mathcal{F} on X consists of the data:

- (i) for every open subset U of X , a set $\mathcal{F}(U)$ and
- (ii) for every inclusion $V \subseteq U$ of open subsets of X , a function $\rho_{U,V} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$ subject to the conditions
 - $\rho_{U,U}$ is the identity map $\mathcal{F}(U) \rightarrow \mathcal{F}(U)$ and
 - if $W \subseteq V \subseteq U$ are three open subsets, then $\rho_{U,W} = \rho_{V,W} \circ \rho_{U,V}$.

The maps $\rho_{U,V}$ are called **restriction maps**, and we sometimes write $s|_V$ instead of $\rho_{U,V}(s)$, if $s \in \mathcal{F}(U)$.

A **morphism of presheaves** $\mathcal{F} \rightarrow \mathcal{G}$ on a topological space X is a collection of maps $\mathcal{F}(U) \rightarrow \mathcal{G}(U)$ which is compatible with respect to restriction maps.

Remark

*Categorically, a presheaf \mathcal{F} on X is a **functor** $\mathcal{F} : \mathcal{O}(X)^{op} \rightarrow \mathbf{Set}$, where $\mathcal{O}(X)$ is the poset category corresponding to the lattice of open sets of the topological space X (with respect to the inclusion relation).*

*A morphism of presheaves is then just a **natural transformation** between the corresponding functors.*

So we have a category $[\mathcal{O}(X)^{op}, \mathbf{Set}]$ of presheaves on X .

Definition

A **sheaf** \mathcal{F} on a topological space X is a presheaf on X satisfying the additional conditions

- (i) if U is an open set, if $\{V_i \mid i \in I\}$ is an open covering of U , and if $s, t \in \mathcal{F}(U)$ are elements such that $s|_{V_i} = t|_{V_i}$ for all i , then $s = t$;
- (ii) if U is an open set, if $\{V_i \mid i \in I\}$ is an open covering of U , and if we have elements $s_i \in \mathcal{F}(V_i)$ for each i , with the property that for each $i, j \in I$, $s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$, then there is an element $s \in \mathcal{F}(U)$ (necessarily unique by (i)) such that $s|_{V_i} = s_i$ for each i .

A morphism of sheaves is defined as a morphism of the underlying presheaves.

Remark

Categorically, a sheaf is a functor $\mathcal{O}(X)^{op} \rightarrow \mathbf{Set}$ which satisfies certain conditions expressible in categorical language entirely in terms of the poset category $\mathcal{O}(X)$ and of the usual notion of covering on it. The category $\mathbf{Sh}(X)$ of sheaves on a topological space X is thus a full subcategory of the category $[\mathcal{O}(X)^{op}, \mathbf{Set}]$ of presheaves on X .

This paves the way for a significant **categorical generalization** of the notion of sheaf, leading to the notion of **Grothendieck topos**.

Theorem

Given a presheaf \mathcal{F} , there is a sheaf $a(\mathcal{F})$ and a morphism $\theta : \mathcal{F} \rightarrow a(\mathcal{F})$, with the property that for any sheaf \mathcal{G} , and any morphism $\phi : \mathcal{F} \rightarrow \mathcal{G}$, there is a unique morphism $\psi : a(\mathcal{F}) \rightarrow \mathcal{G}$ such that $\psi \circ \theta = \phi$.

The sheaf $a(\mathcal{F})$ is called the **sheaf associated** to the presheaf \mathcal{F} .

Remark

Categorically, this means that the inclusion functor

$i : \mathbf{Sh}(X) \rightarrow [\mathcal{O}(X)^{op}, \mathbf{Set}]$ has a left adjoint

$a : [\mathcal{O}(X)^{op}, \mathbf{Set}] \rightarrow \mathbf{Sh}(X)$.

The left adjoint $a : [\mathcal{O}(X)^{op}, \mathbf{Set}] \rightarrow \mathbf{Sh}(X)$ is called the **associated sheaf functor**.

Examples

- the sheaf of continuous real-valued functions on any topological space
- the sheaf of regular functions on a variety
- the sheaf of differentiable functions on a differentiable manifold
- the sheaf of holomorphic functions on a complex manifold

In each of the above examples, the restriction maps of the sheaf are the usual set-theoretic restrictions of functions to a subset.

Remark

Sheaves arising in Mathematics are often equipped with more structure than the mere set-theoretic one; for example, one may wish to consider sheaves of modules (resp. rings, abelian groups, ...) on a topological space X .

*The natural categorical way of looking at these notions is to consider them as **models** of certain (geometric) theories in a category $\mathbf{Sh}(X)$ of sheaves of sets.*

The sheaf of cross-sections of a bundle

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Definition

- For any topological space X , a continuous map $p : Y \rightarrow X$ is called a **bundle** over X . In fact, the category of bundles is the slice category \mathbf{Top}/X .
- Given an open subset U of X , a **cross-section** over U of a bundle $p : Y \rightarrow X$ is a continuous map $s : U \rightarrow Y$ such that the composite $p \circ s$ is the inclusion $i : U \hookrightarrow X$. Let

$$\Gamma_p U = \{s \mid s : U \rightarrow Y \text{ and } p \circ s = i : U \rightarrow X\}$$

denote the set of all such cross-sections over U .

- If $V \subseteq U$, one has a restriction operation $\Gamma_p U \rightarrow \Gamma_p V$. The functor $\Gamma_p : \mathcal{O}(X)^{\text{op}} \rightarrow \mathbf{Set}$ obtained in this way is a sheaf and is called the **sheaf of cross-sections** of the bundle p .

The bundle of germs of a presheaf

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Definition

- Given any presheaf $\mathcal{F} : \mathcal{O}(X)^{\text{op}} \rightarrow \mathbf{Set}$ on a space X , a point $x \in X$, two open neighbourhoods U and V of x , and two elements $s \in \mathcal{F}(U), t \in \mathcal{F}(V)$. We say that s and t have the same **germ** at x when there is some open set $W \subseteq U \cap V$ with $x \in W$ and $s|_W = t|_W$. This relation ‘to have the same germ at x ’ is an equivalence relation, and the equivalence class of any one such s is called the germ of s at x , in symbols $\text{germ}(s)$.
- Let

$$\mathcal{F}_x = \{\text{germ}(s) \mid s \in \mathcal{F}(U), x \in U \text{ open in } X\}$$

be the set of all germs at x .

- Let Γ_p be the disjoint union of the \mathcal{F}_x

$$\Lambda_p = \{\langle x, r \rangle \mid x \in X, r \in \mathcal{F}_x\}$$

topologized by taking as a base of open sets all the image sets $\tilde{s}(U)$, where $\tilde{s} : U \rightarrow \Lambda_p$ is the map induced by an element $s \in \mathcal{F}(U)$ by taking its germs at points in U .

- With respect to this topology, the natural projection map $\Lambda_p \rightarrow X$ becomes a continuous map, called the **bundle of germs** of the presheaf \mathcal{F} .

Definition

- A bundle $p : E \rightarrow X$ is said to be **étale** (over X) when p is a local homeomorphism in the following sense: for each $e \in E$ there is an open set V , with $e \in V$, such that $p(V)$ is open in X and $p|_V$ is a homeomorphism $V \rightarrow p(V)$.
- The full subcategory of \mathbf{Top}/X on the étale bundles is denoted by **Etale**(X).

Theorem

- For any topological space X , there is a pair of adjoint functors

$$\Gamma : \mathbf{Top}/X \rightarrow [\mathcal{O}(X)^{op}, \mathbf{Set}], \quad \Lambda : [\mathcal{O}(X)^{op}, \mathbf{Set}] \rightarrow \mathbf{Top}/X,$$

where Γ assigns to each bundle $p : Y \rightarrow X$ the sheaf of cross-sections of p , while its left adjoint Λ assigns to each presheaf \mathcal{F} the bundle of germs of \mathcal{F} .

- The adjunction restricts to an equivalence of categories

$$\mathbf{Sh}(X) \simeq \mathbf{Etale}(X).$$

In order to ‘categorify’ the notion of sheaf of a topological space, the first step is to introduce an abstract notion of covering on a category.

Definition

- Given a category \mathcal{C} and an object $c \in \text{Ob}(\mathcal{C})$, a **sieve** S in \mathcal{C} on c is a collection of arrows in \mathcal{C} with codomain c such that

$$f \in S \Rightarrow f \circ g \in S$$

whenever this composition makes sense.

- We say that a sieve S is **generated** by a given family of arrows (with common codomain) if it is the smallest sieve which contains all the arrows of the family.

If S is a sieve on c and $h: d \rightarrow c$ is any arrow to c , then

$$h^*(S) := \{g \mid \text{cod}(g) = d, h \circ g \in S\}$$

is a sieve on d .

Definition

A **Grothendieck topology** on a small category \mathcal{C} is a function J which assigns to each object c of \mathcal{C} a collection $J(c)$ of sieves on c in such a way that

- (i) (**maximality axiom**) the maximal sieve $M_c = \{f \mid \text{cod}(f) = c\}$ is in $J(c)$;
- (ii) (**stability axiom**) if $S \in J(c)$, then $f^*(S) \in J(d)$ for any arrow $f : d \rightarrow c$;
- (iii) (**transitivity axiom**) if $S \in J(c)$ and R is any sieve on c such that $f^*(R) \in J(d)$ for all $f : d \rightarrow c$ in S , then $R \in J(c)$.

The sieves S which belong to $J(c)$ for some object c of \mathcal{C} are said to be **J -covering**.

Examples of Grothendieck topologies I

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- For any (small) category \mathcal{C} , the **trivial topology** on \mathcal{C} is the Grothendieck topology in which the only sieve covering an object c is the maximal sieve M_c .
- The **dense topology** D on a category \mathcal{C} is defined by: for a sieve S ,

$S \in D(c)$ if and only if for any $f : d \rightarrow c$ there exists $g : e \rightarrow d$ such that $f \circ g \in S$.

If \mathcal{C} satisfies the **right Ore condition** i.e. the property that any two arrows $f : d \rightarrow c$ and $g : e \rightarrow c$ with a common codomain c can be completed to a commutative square

$$\begin{array}{ccc}
 \bullet & \dashrightarrow & d \\
 \downarrow & & \downarrow f \\
 e & \xrightarrow{g} & c
 \end{array}$$

then the dense topology on \mathcal{C} specializes to the **atomic topology** on \mathcal{C} i.e. the topology J_{at} defined by: for a sieve S ,

$S \in J_{at}(c)$ if and only if $S \neq \emptyset$.

Examples of Grothendieck topologies II

- If X is a topological space, the usual notion of covering in Topology gives rise to the following Grothendieck topology $J_{\mathcal{O}(X)}$ on the poset category $\mathcal{O}(X)$: for a sieve $S = \{U_i \hookrightarrow U \mid i \in I\}$ on $U \in \text{Ob}(\mathcal{O}(X))$,

$$S \in J_{\mathcal{O}(X)}(U) \text{ if and only if } \bigcup_{i \in I} U_i = U.$$

- More generally, given a complete Heyting algebra H , i.e. a Heyting algebra with arbitrary joins \bigvee (and meets), we can define a Grothendieck topology J_H by:

$$\{a_i \mid i \in I\} \in J_H(a) \text{ if and only if } \bigvee_{i \in I} a_i = a.$$

The notion of Grothendieck topos I

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Definition

- A **site** is a pair (\mathcal{C}, J) where \mathcal{C} is a small category and J is a Grothendieck topology on \mathcal{C} .
- A **presheaf** on a (small) category \mathcal{C} is a functor $P : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$.
- Let $P : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$ be a presheaf on \mathcal{C} and S be a sieve on an object c of \mathcal{C} . A **matching family** for S of elements of P is a function which assigns to each arrow $f : d \rightarrow c$ in S an element $x_f \in P(d)$ in such a way that

$$P(g)(x_f) = x_{f \circ g} \quad \text{for all } g : e \rightarrow d .$$

An **amalgamation** for such a family is a single element $x \in P(c)$ such that

$$P(f)(x) = x_f \quad \text{for all } f \text{ in } S .$$

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- Given a site (\mathcal{C}, J) , a presheaf on \mathcal{C} is a **J -sheaf** if every matching family for any J -covering sieve on any object of \mathcal{C} has a unique amalgamation.
- The category $\mathbf{Sh}(\mathcal{C}, J)$ of **sheaves on the site** (\mathcal{C}, J) is the full subcategory of $[\mathcal{C}^{\text{op}}, \mathbf{Set}]$ on the presheaves which are J -sheaves.
- A **Grothendieck topos** is any category of sheaves on a site.

Examples

- For any (small) category \mathcal{C} , $[\mathcal{C}^{\text{op}}, \mathbf{Set}]$ is the category of sheaves $\mathbf{Sh}(\mathcal{C}, T)$ where T is the trivial topology on \mathcal{C} .
- For any topological space X , $\mathbf{Sh}(\mathcal{O}(X), J_{\mathcal{O}(X)})$ is equivalent to the usual category $\mathbf{Sh}(X)$ of sheaves on X .

For further reading



S. Mac Lane and I. Moerdijk.

Sheaves in geometry and logic: a first introduction to topos theory

Springer-Verlag, 1992.