

# Deductive systems and Grothendieck topologies

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# Aim of the talk

Olivia Caramello

Introduction

Background

The duality  
theorem

The  
proof-theoretic  
interpretation

Theories of  
presheaf type  
and their  
quotients

Usefulness of  
these  
equivalences

For further  
reading

The purpose of this talk is to illustrate the proof-theoretic relevance of the notion of Grothendieck topology.

I will show that the classical proof system of geometric logic over a given geometric theory is **equivalent** to new proof systems based on the notion of Grothendieck topology.

These equivalences result from a proof-theoretic interpretation of a duality between the **quotients** (i.e. geometric theory extensions over the same signature) of a given geometric theory and the **subtoposes** of its classifying topos.

Interestingly, these alternative proof systems turn out to be **computationally better-behaved** than the classical one for many purposes, as I will illustrate by discussing a few selected applications.

Before describing these results, we need to review the necessary background.

## Definition

- A **geometric formula** over a signature  $\Sigma$  is any formula (with a finite number of free variables) built from atomic formulae over  $\Sigma$  by only using finitary conjunctions, infinitary disjunctions and existential quantifications.
- A **geometric theory** over a signature  $\Sigma$  is any theory whose axioms are of the form  $(\phi \vdash_{\vec{x}} \psi)$ , where  $\phi$  and  $\psi$  are geometric formulae over  $\Sigma$  and  $\vec{x}$  is a context suitable for both of them.

## Fact

*Most of the theories naturally arising in Mathematics are geometric; and if a finitary first-order theory is not geometric, we can always associate to it a finitary geometric theory over a larger signature (the so-called **Morleyization** of the theory) with essentially the same models in the category **Set** of sets.*

# The syntactic category of a geometric theory

Definition (Makkai and Reyes 1977)

- Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$ . The **syntactic category**  $\mathcal{C}_{\mathbb{T}}$  of  $\mathbb{T}$  has as **objects** the 'renaming'-equivalence classes of geometric formulae-in-context  $\{\vec{x} . \phi\}$  over  $\Sigma$  and as **arrows**  $\{\vec{x} . \phi\} \rightarrow \{\vec{y} . \psi\}$  (where the contexts  $\vec{x}$  and  $\vec{y}$  are supposed to be disjoint without loss of generality) the  $\mathbb{T}$ -provable-equivalence classes  $[\theta]$  of geometric formulae  $\theta(\vec{x}, \vec{y})$  which are  $\mathbb{T}$ -provably functional i.e. such that the sequents

$$\begin{aligned} &(\phi \vdash_{\vec{x}} (\exists y)\theta), \\ &(\theta \vdash_{\vec{x}, \vec{y}} \phi \wedge \psi), \text{ and} \\ &((\theta \wedge \theta[\vec{z}/\vec{y}]) \vdash_{\vec{x}, \vec{y}, \vec{z}} (\vec{y} = \vec{z})) \end{aligned}$$

are provable in  $\mathbb{T}$ .

- The **composite** of two arrows

$$\{\vec{x} . \phi\} \xrightarrow{[\theta]} \{\vec{y} . \psi\} \xrightarrow{[\gamma]} \{\vec{z} . \chi\}$$

is defined as the  $\mathbb{T}$ -provable-equivalence class of the formula  $(\exists \vec{y})\theta \wedge \gamma$ .

- The **identity** arrow on an object  $\{\vec{x} . \phi\}$  is the arrow

$$\{\vec{x} . \phi\} \xrightarrow{[\phi \wedge \vec{x}' = \vec{x}]} \{\vec{x}' . \phi[\vec{x}'/\vec{x}]\}$$

## Definition

A **Grothendieck topology** on a small category  $\mathcal{C}$  is a function  $J$  which assigns to each object  $c$  of  $\mathcal{C}$  a collection  $J(c)$  of sieves on  $c$  in such a way that

- (i) (**maximality axiom**) the maximal sieve  $M_c = \{f \mid \text{cod}(f) = c\}$  is in  $J(c)$ ;
- (ii) (**stability axiom**) if  $S \in J(c)$ , then  $f^*(S) \in J(d)$  for any arrow  $f : d \rightarrow c$ ;
- (iii) (**transitivity axiom**) if  $S \in J(c)$  and  $R$  is any sieve on  $c$  such that  $f^*(R) \in J(d)$  for all  $f : d \rightarrow c$  in  $S$ , then  $R \in J(c)$ .

The sieves  $S$  which belong to  $J(c)$  for some object  $c$  of  $\mathcal{C}$  are said to be  **$J$ -covering**.

A **site** is a pair  $(\mathcal{C}, J)$  consisting of a category  $\mathcal{C}$  and a Grothendieck topology  $J$  on  $\mathcal{C}$ .

# Examples of Grothendieck topologies

Olivia Caramello

Introduction

Background

The duality theorem

The proof-theoretic interpretation

Theories of presheaf type and their quotients

Usefulness of these equivalences

For further reading

- For any (small) category  $\mathcal{C}$ , the **trivial topology** on  $\mathcal{C}$  is the Grothendieck topology in which the only sieve covering an object  $c$  is the maximal sieve  $M_c$ .
- The **dense topology**  $D$  on a category  $\mathcal{C}$  is defined by: for a sieve  $S$ ,

$$S \in D(c) \quad \text{if and only if} \quad \text{for any } f : d \rightarrow c \text{ there exists } g : e \rightarrow d \text{ such that } f \circ g \in S.$$

- If  $X$  is a topological space, the usual notion of covering in Topology gives rise to the following Grothendieck topology  $J_{\mathcal{O}(X)}$  on the poset category  $\mathcal{O}(X)$ : for a sieve  $S = \{U_i \hookrightarrow U \mid i \in I\}$  on  $U \in \text{Ob}(\mathcal{O}(X))$ ,

$$S \in J_{\mathcal{O}(X)}(U) \text{ if and only if } \bigcup_{i \in I} U_i = U.$$

- More generally, given a frame  $H$ , i.e. a Heyting algebra with arbitrary joins  $\bigvee$  (and meets), we can define a Grothendieck topology  $J_H$  on  $H$  by:

$$\{a_i \leq a \mid i \in I\} \in J_H(a) \text{ if and only if } \bigvee_{i \in I} a_i = a.$$

- For any geometric theory  $\mathbb{T}$ , its syntactic category  $\mathcal{C}_{\mathbb{T}}$  is a **geometric category**, i.e. a well-powered cartesian category in which images of morphisms and arbitrary unions of subobjects exist and are stable under pullback.
- For a geometric theory  $\mathbb{T}$ , the **geometric topology** on  $\mathcal{C}_{\mathbb{T}}$  is the Grothendieck topology  $\mathcal{J}_{\mathbb{T}}$  whose covering sieves are those which contain small covering families.

## Definition

The **syntactic topology**  $\mathcal{J}_{\mathbb{T}}$  on the syntactic category  $\mathcal{C}_{\mathbb{T}}$  of a geometric theory  $\mathbb{T}$  is the geometric topology on it; in particular,

a small sieve  $\{[\theta_i] : \{\vec{x}_i \cdot \phi_i\} \rightarrow \{\vec{y} \cdot \psi\}\}$  in  $\mathcal{C}_{\mathbb{T}}$  is  **$\mathcal{J}_{\mathbb{T}}$ -covering** if and only if

the sequent  $(\psi \vdash_{\vec{y}} \bigvee_{i \in I} (\exists \vec{x}_i) \theta_i)$  is **provable in  $\mathbb{T}$** .

One can define sheaves on an arbitrary site in a formally analogous way to how one defines sheaves on a topological space. This leads to the following

## Definition

- A **Grothendieck topos** is a category (equivalent to the category)  $\mathbf{Sh}(\mathcal{C}, \mathcal{J})$  of sheaves on a site  $(\mathcal{C}, \mathcal{J})$ .
- A **geometric morphism** of toposes  $f : \mathcal{E} \rightarrow \mathcal{F}$  is a pair of adjoint functors whose left adjoint (called the inverse image functor)  $f^* : \mathcal{F} \rightarrow \mathcal{E}$  preserves finite limits.

For instance, the inclusion  $\mathbf{Sh}(\mathcal{C}, \mathcal{J}) \hookrightarrow [\mathcal{C}^{\text{op}}, \mathbf{Set}]$  of a Grothendieck topos  $\mathbf{Sh}(\mathcal{C}, \mathcal{J})$  in the corresponding presheaf topos  $[\mathcal{C}^{\text{op}}, \mathbf{Set}]$  yields a geometric morphism between these toposes (whose inverse image is the associated sheaf functor).



# The notion of classifying topos

Olivia Caramello

Introduction

Background

The duality  
theoremThe  
proof-theoretic  
interpretationTheories of  
presheaf type  
and their  
quotientsUsefulness of  
these  
equivalencesFor further  
reading

## Definition

Let  $\mathbb{T}$  be a geometric theory over a given signature. A **classifying topos** of  $\mathbb{T}$  is a Grothendieck topos  $\mathbf{Set}[\mathbb{T}]$  such that for any Grothendieck topos  $\mathcal{E}$  we have an equivalence of categories

$$\mathbf{Geom}(\mathcal{E}, \mathbf{Set}[\mathbb{T}]) \simeq \mathbb{T}\text{-mod}(\mathcal{E})$$

natural in  $\mathcal{E}$ .

## Theorem (Makkai, Reyes *et al.*)

*Every geometric theory has a classifying topos. Conversely, every Grothendieck topos arises as the classifying topos of some geometric theory.*

The classifying topos of a geometric theory  $\mathbb{T}$  can always be constructed canonically from it as the topos of sheaves  $\mathbf{Sh}(\mathcal{C}_{\mathbb{T}}, \mathcal{J}_{\mathbb{T}})$  on its syntactic site  $(\mathcal{C}_{\mathbb{T}}, \mathcal{J}_{\mathbb{T}})$ .

Many different (not necessarily bi-interpretable) theories may have the same classifying topos (up to equivalence). This phenomenon is called **Morita equivalence** and corresponds to the existence of **different sites** presenting the **same** topos.

## Definition

A **subtopos** of a topos  $\mathcal{E}$  is a geometric inclusion of the form  $\mathbf{sh}_j(\mathcal{E}) \hookrightarrow \mathcal{E}$  for a local operator  $j$  on  $\mathcal{E}$ .

## Fact

- A subtopos of a topos  $\mathcal{E}$  can be thought of as an equivalence class of geometric inclusions with codomain  $\mathcal{E}$ ; hence, the notion of subtopos is a **topos-theoretic invariant**.
- If  $\mathcal{E}$  is the topos  $\mathbf{Sh}(\mathcal{C}, J)$  of sheaves on a site  $(\mathcal{C}, J)$ , the subtoposes of  $\mathcal{E}$  are in bijective correspondence with the Grothendieck topologies  $J'$  on  $\mathcal{C}$  which contain  $J$  (i.e. such that every  $J$ -covering sieve is  $J'$ -covering).

## Definition

- Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$ . A **quotient** of  $\mathbb{T}$  is a geometric theory  $\mathbb{T}'$  over  $\Sigma$  such that every axiom of  $\mathbb{T}$  is provable in  $\mathbb{T}'$ .
- Let  $\mathbb{T}$  and  $\mathbb{T}'$  be geometric theories over a signature  $\Sigma$ . We say that  $\mathbb{T}$  and  $\mathbb{T}'$  are **syntactically equivalent**, and we write  $\mathbb{T} \equiv_{\Sigma} \mathbb{T}'$ , if for every geometric sequent  $\sigma$  over  $\Sigma$ ,  $\sigma$  is provable in  $\mathbb{T}$  if and only if  $\sigma$  is provable in  $\mathbb{T}'$ .

## Theorem

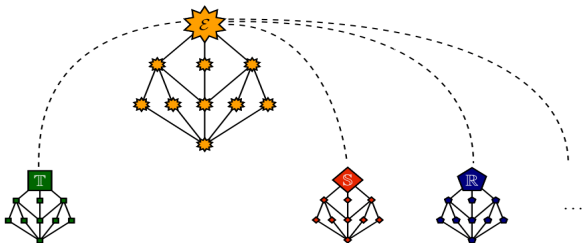
*Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$ . Then the assignment sending a quotient of  $\mathbb{T}$  to its classifying topos defines a bijection between the  $\equiv_{\Sigma}$ -equivalence classes of **quotients** of  $\mathbb{T}$  and the **subtoposes** of the classifying topos  $\mathbf{Set}[\mathbb{T}]$  of  $\mathbb{T}$ .*

# Some consequences

This duality theorem has several implications; in particular, it allows one to import many notions and results from (elementary) topos theory into the realm of geometric logic. For instance, one can deduce from it that

## Theorem

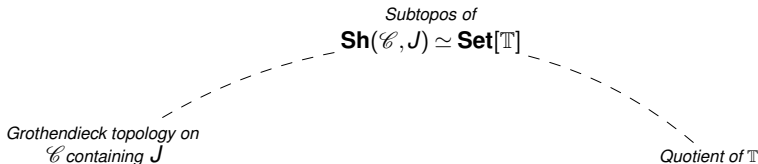
Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$ . Then the collection  $\mathfrak{H}_{\Sigma}^{\mathbb{T}}$  of (syntactic-equivalence classes of) geometric theories over  $\Sigma$  which are quotients of  $\mathbb{T}$ , endowed with the order defined by  $\mathbb{T}' \leq \mathbb{T}''$  if and only if all the axioms of  $\mathbb{T}'$  are provable in  $\mathbb{T}''$ , is a Heyting algebra.



Lattices of theories

# 'Bridges' between quotients and topologies

This duality also allows one to establish 'bridges' of the following form:



That is, if the classifying topos of a geometric theory  $\mathbb{T}$  can be represented as the category  $\mathbf{Sh}(\mathcal{C}, \mathcal{J})$  of sheaves on a (small) site  $(\mathcal{C}, \mathcal{J})$  then we have a natural, order-preserving **bijection**

quotients of  $\mathbb{T}$



Grothendieck topologies on  $\mathcal{C}$  which contain  $\mathcal{J}$

# Two notable cases

We shall focus on two particular cases of this result:

- (1)  $(\mathcal{C}, \mathcal{J})$  is the **syntactic site**  $(\mathcal{C}_{\mathbb{T}}, \mathcal{J}_{\mathbb{T}})$  of  $\mathbb{T}$
- (2)
  - $\mathbb{T}$  is a theory of **presheaf type** (e.g. a finitary algebraic, or more generally cartesian, theory),
  - $\mathcal{C}$  is the opposite of its category f.p. $\mathbb{T}$ -mod(**Set**) of **finitely presentable models**, and
  - $\mathcal{J}$  is the **trivial topology** on it.

In the first case, we obtain an order-preserving bijective correspondence between the **quotients of  $\mathbb{T}$**  and the **Grothendieck topologies on  $\mathcal{C}_{\mathbb{T}}$  which contain  $\mathcal{J}_{\mathbb{T}}$** .

In the second case, we obtain an order-preserving bijective correspondence between the **quotients of  $\mathbb{T}$**  and the **Grothendieck topologies on f.p. $\mathbb{T}$ -mod(**Set**)<sup>op</sup>**.

In both cases, these correspondences can be naturally interpreted as **proof-theoretic equivalences** between the classical proof system of geometric logic over  $\mathbb{T}$  and **new proof systems for sieves** whose inference rules correspond to the axioms of Grothendieck topologies.

# Proof systems for sieves

Olivia Caramello

Introduction

Background

The duality  
theoremThe  
proof-theoretic  
interpretationTheories of  
presheaf type  
and their  
quotientsUsefulness of  
these  
equivalencesFor further  
reading

Given a collection  $\mathcal{A}$  of sieves on a given category  $\mathcal{C}$ , the notion of Grothendieck topology on  $\mathcal{C}$  naturally gives rise to a proof system  $\mathcal{T}_{\mathcal{C}}^{\mathcal{A}}$ , as follows: the axioms of  $\mathcal{T}_{\mathcal{C}}^{\mathcal{A}}$  are the sieves in  $\mathcal{A}$  plus all the maximal sieves, while the inference rules of  $\mathcal{T}_{\mathcal{C}}^{\mathcal{A}}$  are the proof-theoretic versions of the well-known axioms for Grothendieck topologies, i.e. the following rules:

- *Stability rule:*

$$\frac{R}{f^*(R)}$$

where  $R$  is any sieve on an object  $c$  of  $\mathcal{C}$  and  $f$  is any arrow in  $\mathcal{C}$  with codomain  $c$ .

- *Transitivity rule:*

$$\frac{Z \quad \{f^*(R) \mid f \in Z\}}{R}$$

where  $R$  and  $Z$  are sieves in  $\mathcal{C}$  on a given object of  $\mathcal{C}$ .

**N.B.** The ‘closed theories’ of this proof system are precisely the Grothendieck topologies on  $\mathcal{C}$  which contain the sieves in  $\mathcal{A}$  as covering sieves. The closure of a ‘theory’ in  $\mathcal{T}_{\mathcal{C}}^{\mathcal{A}}$ , i.e. of a collection  $\mathcal{U}$  of sieves in  $\mathcal{C}$ , is exactly the Grothendieck topology on  $\mathcal{C}$  generated by  $\mathcal{A}$  and  $\mathcal{U}$ .

# The first correspondence

Olivia Caramello

Introduction

Background

The duality  
theoremThe  
proof-theoretic  
interpretationTheories of  
presheaf type  
and their  
quotientsUsefulness of  
these  
equivalencesFor further  
reading

Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$ ,  $\mathcal{S}$  the collection of geometric sequents over  $\Sigma$  and  $S(\mathcal{C}_{\mathbb{T}})$  the collection of (small) sieves in the syntactic category  $\mathcal{C}_{\mathbb{T}}$ .

- Given a geometric sequent  $\sigma \equiv (\phi \vdash_{\vec{x}} \psi)$  over  $\Sigma$ , we set  $\mathcal{F}(\sigma)$  equal to the principal sieve in  $\mathcal{C}_{\mathbb{T}}$  generated by the monomorphism

$$\{\vec{x}' . \phi \wedge \psi\} \xrightarrow{[(\phi \wedge \psi \wedge \vec{x}' = \vec{x})]} \{\vec{x} . \phi\}.$$

- Given a small sieve  $R = \{[\theta_i] : \{\vec{x}_i . \phi_i\} \rightarrow \{\vec{y} . \psi\}\}$  in  $\mathcal{C}_{\mathbb{T}}$ , we set  $\mathcal{G}(R)$  equal to the sequent  $(\psi \vdash_{\vec{y}} \bigvee_{i \in I} (\exists \vec{x}_i) \theta_i)$ .



# The first equivalence

Olivia Caramello

Introduction

Background

The duality  
theoremThe  
proof-theoretic  
interpretationTheories of  
presheaf type  
and their  
quotientsUsefulness of  
these  
equivalencesFor further  
reading

Let  $V \rightarrow \overline{V}^{\mathcal{J}}$  and  $U \rightarrow \overline{U}^{\mathbb{T}}$  respectively be the operations consisting in taking the Grothendieck topology  $\overline{V}^{\mathcal{J}}$  generated by  $\mathcal{J}_{\mathbb{T}}$  plus the sieves in  $V$  and in taking the collection  $\overline{U}^{\mathbb{T}}$  of geometric sequents provable in  $\mathbb{T} \cup U$  by using geometric logic. Let  $F: \mathcal{P}(\mathcal{S}) \rightarrow \mathcal{P}(\mathcal{S}(\mathcal{C}_{\mathbb{T}}))$  be the composite  $\overline{(-)}^{\mathcal{J}} \circ \mathcal{P}(\mathcal{F})$  and  $G: \mathcal{P}(\mathcal{S}(\mathcal{C}_{\mathbb{T}})) \rightarrow \mathcal{P}(\mathcal{S})$  be the composite  $\overline{(-)}^{\mathbb{T}} \circ \mathcal{P}(\mathcal{G})$ . Then

## Theorem

- (i) For any  $U \in \mathcal{P}(\mathcal{S})$ ,  $\mathcal{F}(\overline{U}^{\mathbb{T}}) \subseteq \overline{\mathcal{F}(U)}^{\mathcal{J}}$ .
- (ii) For any  $V \in \mathcal{P}(\mathcal{S}(\mathcal{C}_{\mathbb{T}}))$ ,  $\mathcal{G}(\overline{V}^{\mathcal{J}}) \subseteq \overline{\mathcal{G}(V)}^{\mathbb{T}}$ .
- (iii) For any  $U \in \mathcal{P}(\mathcal{S})$ ,  $G(F(U)) = \overline{U}^{\mathbb{T}}$ .
- (iv) For any  $V \in \mathcal{P}(\mathcal{S}(\mathcal{C}_{\mathbb{T}}))$ ,  $F(G(V)) = \overline{V}^{\mathcal{J}}$ .

In other words, the maps  $\mathcal{P}(F)$  and  $\mathcal{P}(G)$  define a proof-theoretic equivalence between the classical deduction system for geometric logic over  $\mathbb{T}$  and the proof system  $\mathcal{F}_{\mathcal{C}_{\mathbb{T}}}^{\mathcal{J}}$ .

# Describing the second equivalence

Recall that a geometric theory is said to be **of presheaf type** if it is classified by a presheaf topos (equivalently, by the topos  $[\mathbf{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set}), \mathbf{Set}]$ ). Theories of presheaf type are very important in that they constitute the basic ‘**building blocks**’ from which every geometric theory can be built. Indeed, as every Grothendieck topos is a **subtopos** of a presheaf topos, so every geometric theory is a ‘quotient’ of a theory of presheaf type.

Every finitary algebraic (or more generally any cartesian) theory is of presheaf type, but this class also contains many other interesting mathematical theories.

## Definition

Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$ . Then a geometric formula  $\phi(\vec{x})$  over  $\Sigma$  is said to be  **$\mathbb{T}$ -irreducible** if, regarded as an object of the syntactic category  $\mathcal{C}_{\mathbb{T}}$  of  $\mathbb{T}$ , it does not admit any non-trivial  $J_{\mathbb{T}}$ -covering sieves.

## Theorem

*A geometric theory  $\mathbb{T}$  over a signature  $\Sigma$  is of presheaf type if and only if every geometric formula  $\phi(\vec{x})$  over  $\Sigma$ , when regarded as an object of  $\mathcal{C}_{\mathbb{T}}$ , is  $J_{\mathbb{T}}$ -covered by  $\mathbb{T}$ -irreducible formulae over  $\Sigma$ .*

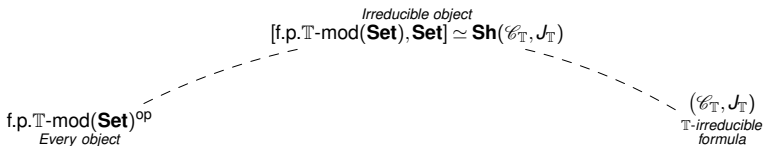
# Irreducible formulae and finitely presentable models

## Theorem

Let  $\mathbb{T}$  be a theory of presheaf type over a signature  $\Sigma$ . Then

- (i) Any finitely presentable  $\mathbb{T}$ -model in **Set** is presented by a  $\mathbb{T}$ -irreducible geometric formula  $\phi(\vec{x})$  over  $\Sigma$ ;
- (ii) Conversely, any  $\mathbb{T}$ -irreducible geometric formula  $\phi(\vec{x})$  over  $\Sigma$  presents a  $\mathbb{T}$ -model.

In fact, the category  $\text{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set})^{\text{op}}$  is equivalent to the full subcategory  $\mathcal{C}_{\mathbb{T}}^{\text{irr}}$  of  $\mathcal{C}_{\mathbb{T}}$  on the  $\mathbb{T}$ -irreducible formulae.



## Sequents and sieves on f.p. models

Olivia Caramello

Introduction

Background

The duality  
theoremThe  
proof-theoretic  
interpretationTheories of  
presheaf type  
and their  
quotientsUsefulness of  
these  
equivalencesFor further  
reading

- By using the fact that every geometric formula over  $\Sigma$  can be  $J_{\mathbb{T}}$ -covered in  $\mathcal{C}_{\mathbb{T}}$  by  $\mathbb{T}$ -irreducible formulae, one can show that every geometric sequent over  $\Sigma$  is provably equivalent in  $\mathbb{T}$  to a collection of sequents  $\sigma$  of the form  $(\phi \vdash_{\vec{x}} \bigvee_{i \in I} (\exists \vec{y}_i) \theta_i)$  where, for each  $i \in I$ ,  $[\theta_i] : \{\vec{y}_i \cdot \psi_i\} \rightarrow \{\vec{x} \cdot \phi\}$  is an arrow in  $\mathcal{C}_{\mathbb{T}}$  and  $\phi(\vec{x})$ ,  $\psi(\vec{y}_i)$  are geometric formulae over  $\Sigma$  presenting respectively  $\mathbb{T}$ -models  $M_{\{\vec{x} \cdot \phi\}}$  and  $M_{\{\vec{y}_i \cdot \psi_i\}}$ .
- To such a sequent  $\sigma$ , we can associate the cosieve  $S_{\sigma}$  on  $M_{\{\vec{x} \cdot \phi\}}$  in  $\text{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set})$  defined as follows. For each  $i \in I$ ,  $[[\theta_i]]_{M_{\{\vec{y}_i \cdot \psi_i\}}}$  is the graph of a morphism  $[[\vec{y}_i \cdot \psi_i]]_{M_{\{\vec{y}_i \cdot \psi_i\}}} \rightarrow [[\vec{x} \cdot \phi]]_{M_{\{\vec{y}_i \cdot \psi_i\}}}$ ; then the image of the generators of  $M_{\{\vec{y}_i \cdot \psi_i\}}$  via this morphism is an element of  $[[\vec{x} \cdot \phi]]_{M_{\{\vec{y}_i \cdot \psi_i\}}}$  and this in turn determines, by definition of  $M_{\{\vec{x} \cdot \phi\}}$ , a unique arrow  $s_i : M_{\{\vec{x} \cdot \phi\}} \rightarrow M_{\{\vec{y}_i \cdot \psi_i\}}$  in  $\mathbb{T}\text{-mod}(\mathbf{Set})$ . We set  $S_{\sigma}$  equal to the sieve in  $\text{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set})^{\text{op}}$  on  $M_{\phi}$  generated by the arrows  $s_i$  as  $i$  varies in  $I$ .

## Sequents and sieves on f.p. models

Olivia Caramello

Introduction

Background

The duality theorem

The proof-theoretic interpretation

Theories of presheaf type and their quotients

Usefulness of these equivalences

For further reading

Conversely, by the equivalence  $\text{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set})^{\text{op}} \simeq \mathcal{C}_{\mathbb{T}}^{\text{irr}}$ , every sieve in  $\text{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set})^{\text{op}}$  is clearly of the form  $S_{\sigma}$  for such a sequent  $\sigma$ .

These correspondences define, similarly to above, a **proof-theoretic equivalence** between the classical deduction system for geometric logic over  $\mathbb{T}$  and the proof system  $\mathcal{P}_{\text{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set})^{\text{op}}}^T$  (where  $T$  is the trivial Grothendieck topology).

In particular, the Grothendieck topology  $J$  on  $\text{f.p.}\mathbb{T}\text{-mod}(\mathbf{Set})^{\text{op}}$  associated with a quotient  $\mathbb{T}'$  of  $\mathbb{T}$  is generated by the sieves  $S_{\sigma}$ , where  $\sigma$  varies among the sequents of the required form which are equivalent to the axioms of  $\mathbb{T}'$ .

Moreover, for any  $\sigma$  of the above form,  $\sigma$  is **provable** in  $\mathbb{T}'$  if and only if  $S_{\sigma}$  **belongs** to  $J$ .

This generalizes Coste-Lombardi-Roy's correspondence between **dynamical theories** (viewed as coherent quotients of universal Horn theories) and the coherent Grothendieck topologies associated with them.

# Why are these equivalences interesting?

These equivalences are useful in that they allow us to study (the proof theory of) geometric theories through the associated Grothendieck topologies: the condition of **provability** of a sequent in a geometric theory gets transformed in the requirement for a sieve (or a family of sieves) to **belong** to a certain Grothendieck topology, something which is often much **easier** to investigate.

Indeed, we have shown that Grothendieck topologies are particularly **amenable to computation** by deriving

- An **explicit formula** for the Grothendieck topology generated by a given family of sieves
- Explicit descriptions of the **lattice operations** on Grothendieck topologies on a given category which refine a certain topology (recall that these correspond to the lattice operations on quotients via the above duality).

# Formulas for Grothendieck topologies

Olivia Caramello

Introduction

Background

The duality  
theoremThe  
proof-theoretic  
interpretationTheories of  
presheaf type  
and their  
quotientsUsefulness of  
these  
equivalencesFor further  
reading

## Meet of Grothendieck topologies

If  $J_1$  and  $J_2$  are Grothendieck topologies on a category  $\mathcal{C}$  respectively generated by bases  $K_1$  and  $K_2$ , the meet  $J_1 \wedge J_2$  is generated by the collection of sieves which are unions of sieves in  $K_1$  with sieves in  $K_2$ .

## Grothendieck topology generated by a family of sieves

The Grothendieck topology  $G_D$  generated by a family  $D$  of sieves in  $\mathcal{C}$  which is stable under pullback is given by

$$G_D(c) = \{S \text{ sieve on } c \mid \text{for any arrow } d \xrightarrow{f} c \text{ and sieve } T \text{ on } d, \\ [( \text{for any arrow } e \xrightarrow{g} d \text{ and sieve } Z \text{ on } e \\ (Z \in D(e) \text{ and } Z \subseteq g^*(T)) \text{ implies } g \in T) \text{ and } (f^*(S) \subseteq T)] \\ \text{implies } T = M_d\}$$

for any object  $c \in \mathcal{C}$ .

## Heyting implication of Grothendieck topologies

$$(J_1 \Rightarrow J_2)(c) = \{S \text{ sieve on } c \mid \text{for any arrow } d \xrightarrow{f} c \text{ and sieve } Z \text{ on } d, \\ [Z \text{ is } J_1\text{-covering and } J_2\text{-closed and } f^*(S) \subseteq Z] \\ \text{implies } Z = M_d\}.$$

## Theorem (A deduction theorem for geometric logic)

*Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$  and  $\phi, \psi$  geometric sentences over  $\Sigma$  such that the sequent  $(\mathbb{T} \vdash_{\square} \psi)$  is provable in the theory  $\mathbb{T} \cup \{(\mathbb{T} \vdash_{\square} \phi)\}$ . Then the sequent  $(\phi \vdash_{\square} \psi)$  is provable in the theory  $\mathbb{T}$ .*

We have proved this theorem by showing (using the above-mentioned formula for the Grothendieck topology generated by a given family of sieves) that if the principal sieve in  $\mathcal{C}_{\mathbb{T}}$  generated by the arrow  $\{\square \cdot \psi\} \xrightarrow{[\psi]} \{\square \cdot \top\}$  belongs to the Grothendieck topology on  $\mathcal{C}_{\mathbb{T}}$  generated over  $\mathcal{J}_{\mathbb{T}}$  by the principal sieve generated by the arrow  $\{\square \cdot \phi\} \xrightarrow{[\phi]} \{\square \cdot \top\}$ , then  $[\phi] \leq [\psi]$  in  $\text{Sub}_{\mathcal{C}_{\mathbb{T}}}(\{\square \cdot \top\})$ .



## Theorem

The *meet* of the theory of local rings and that of integral domains in the lattice of quotients of the theory of commutative rings with unit is obtained from the latter theory by adding the sequents

$$(0 = 1 \vdash_{\square} \perp)$$

and

$$\left( \bigwedge_{1 \leq s \leq m} P_s(\vec{x}) = 0 \vdash_{\vec{x}} \bigvee_{1 \leq i \leq k} (\exists y)(G_i(\vec{x}) \cdot y = 1) \vee \bigvee_{1 \leq j \leq l} H_j(\vec{x}) = 0 \right)$$

where for each  $1 \leq s \leq m$ ,  $1 \leq i \leq k$  and  $1 \leq j \leq l$  the  $P_s$ 's,  $G_i$ 's and  $H_j$ 's are any polynomials in a finite string  $\vec{x} = (x_1, \dots, x_n)$  of variables with the property that  $\{P_1, \dots, P_s, G_1, \dots, G_k\}$  is a set of elements of  $\mathbb{Z}[x_1, \dots, x_n]$  which is not contained in any proper ideal of  $\mathbb{Z}[x_1, \dots, x_n]$  and  $\prod_{1 \leq j \leq l} H_j \in (P_1, \dots, P_s)$  in  $\mathbb{Z}[x_1, \dots, x_n]$ .

We have derived this result by calculating the meet of the Grothendieck topologies associated with the two quotients by using suitable bases for them.

## Theorem

Let  $\mathbb{T}$  be a geometric theory over a signature  $\Sigma$  and  $\mathbb{T}_1, \mathbb{T}_2$  two quotients of  $\mathbb{T}$ . Then the Heyting implication  $\mathbb{T}_1 \Rightarrow \mathbb{T}_2$  in  $\mathfrak{H}_{\Sigma}^{\mathbb{T}}$  is the theory obtained from  $\mathbb{T}$  by adding all the geometric sequents  $(\psi \vdash_{\vec{y}} \psi')$  over  $\Sigma$  with the property that  $(\psi' \vdash_{\vec{y}} \psi)$  is provable in  $\mathbb{T}$  and for any  $\mathbb{T}$ -provably functional geometric formula  $\theta(\vec{x}, \vec{y})$  from a geometric formula-in-context  $\{\vec{x} . \phi\}$  to  $\{\vec{y} . \psi\}$  and any geometric formula  $\chi$  in the context  $\vec{x}$  such that  $(\chi \vdash_{\vec{x}} \phi)$  is provable in  $\mathbb{T}$ , the conjunction of the facts

- (i)  $(\phi \vdash_{\vec{x}} \chi)$  is provable in  $\mathbb{T}_1$
- (ii)  $((\exists \vec{y})(\theta(\vec{x}, \vec{y}) \wedge \psi'(\vec{y})) \vdash_{\vec{x}} \chi)$  is provable in  $\mathbb{T}$

implies that  $(\phi \vdash_{\vec{x}} \chi)$  is provable in  $\mathbb{T}_2$ .

- My book **Theories, Sites, Toposes: Relating and studying mathematical theories through topos-theoretic ‘bridges’** will appear soon for Oxford University Press.
- My recently defended habilitation thesis is available from my website [www.oliviacaramello.com](http://www.oliviacaramello.com).